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October 3, 2014

**BY ECF**

Honorable Ronnie Abrams  
United States District Judge  
Thurgood Marshall United States Courthouse  
40 Foley Square  
New York, New York 10007

Re: *In re L&L Energy, Inc. Securities Litig.*  
No. 13 CV 6704 (RA)

Your Honor:

The parties jointly submit this status report concerning the settlement in this action. Plaintiffs and Defendants are in agreement that they should go forward with the process of documenting the settlement and moving for preliminary approval of the settlement. Plaintiffs and Defendants anticipate filing the preliminary approval motion papers on before November 3, 2014.

Respectfully submitted,

/s/ Phillip Kim  
Phillip Kim, Esq. (PK 9384)

cc: All counsel of record (by ECF)